

10/20/02

10077767-022002

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 401572 First Inventor Shinya SOEDA Title SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME Express Mail Label No.
APPLICATION ELEMENTS 1. <input checked="" type="checkbox"/> Utility Patent Application Transmittal Form 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 34] 4. <input checked="" type="checkbox"/> Drawings [Total Sheets 14] 5. <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed b. <input type="checkbox"/> Copy from prior application [Note Box 6 below] i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application 6. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference. 7. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 9. Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying identity of above copies		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231 ACCOMPANYING APPLICATION PARTS 10. <input type="checkbox"/> Applicant requests early publication. (include publication fee under 37 CFR 1.18(d)) 11. <input checked="" type="checkbox"/> Assignment Papers (cover sheet and document(s)) 12. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an Assignee) 13. <input type="checkbox"/> Power of Attorney 14. <input type="checkbox"/> English Translation Document (if applicable) 15. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of Listed Documents 16. <input checked="" type="checkbox"/> Preliminary Amendment 17. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 18. <input checked="" type="checkbox"/> Claim of Priority & Certified Copy of Priority Document(s) 19. <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached) 20. <input type="checkbox"/> Other:
21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application no. _____ Prior application information: Examiner _____; Group Art Unit: _____		

10/07/02
 02/20/02

APPLICATION FEES				
BASIC FEE				\$740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	18 -20=		x \$18.00	\$
Independent Claims	4 - 3=	1	x \$84.00	\$84.00
<input type="checkbox"/> Multiple Dependent Claim if applicable			+ \$280.00	\$
Total of above calculations =				\$824.00
Reduction by 50% for filing by small entity =				\$()
<input checked="" type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$40.00
<input type="checkbox"/> Early publication fee if applicable			+ \$300.00	\$
TOTAL =				\$864.00

Attorney Docket No. 401572

23. ☒ A check in the amount of \$864.00 is enclosed.

a. ☒ Fees required under 37 CFR 1.16.

b. ☐ Fees required under 37 CFR 1.17.

26. CORRESPONDENCE ADDRESS

23548

PATENT TRADEMARK OFFICE

Utility Transmittal (Revised 9/28/01)